

SECTOR
#13
PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the Application of:

YEH ET AL.

CASE NO.: IJ0011 US NA

APPLICATION NO.: 09/863,167

GROUP ART UNIT: 1755

FILED: MAY 23, 2001

EXAMINER: UNKNOWN

FOR: SELF-DISPERSING PIGMENT AND
PROCESS OF MAKING THE USE OF SAME

**RESPONSE TO NOTICE TO FILE MISSING
PARTS OF APPLICATION-FILING DATE GRANTED**

Assistant Commissioner for Patents
Washington, DC 20231

Attention: Box Missing Parts

Sir:

In response to the Notice to File Missing Parts of Application mailed July 20, 2001 (a copy of which is attached), enclosed is a Declaration and individual Powers for the above-referenced application. A fee sheet is also enclosed.

Respectfully submitted,

BART E. LERMAN
ATTORNEY FOR APPLICANTS
REGISTRATION NO. 31,897
TELEPHONE: 302-992-5285
FACSIMILE: 302-992-2953

Dated: 8/8/2001



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YEH ET AL.

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CERTIFICATE OF MAILING

Assistant Commissioner for Patents
Washington, D.C. 20231

Attention: Box Missing Parts

Sir:

This is to certify that a complete original of the
attached copies were mailed by first class mail to the
Assistant Commissioner for Patents, Washington, D.C. 20231,
Attention: Box Missing Parts on this 8th day of August.

The certificate of mailing was inadvertently omitted with
the original set of documents. Attached is a complete set of
the original documents, which were mailed today.

Respectfully submitted,

NANCY FELAN

TELEPHONE: 302-892-5546

FACSIMILE: 302-992-2953

Dated: 08 August 2001

Enclosures

Response to Missing Parts
Declaration
Fee Transmittal Form
Power of Attorney (8)
Copy of Formalities Letter
Postcard

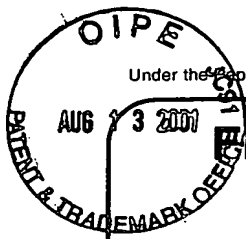


The Stamp of the Patent Office hereon acknowledges the receipt, on the date indicated, of the following:

Case No.: IJ0011 US NA Application No.: 09/863,167
Inventor(s): Yeh et al.
Title: SELF-DISPERSING PIGMENT AND PROCESS OF MAKING
THE USE OF SAME
☐ Application ☐ Request for Extension of Time
Pages of Spec: _____ ☐ Amendment ☐ Preliminary
Pages of Claims: _____ Response to:
☐ Utility ☐ Provisional ☐ Office Action
☐ CIP ☐ CPA ☐ Divisional ☒ Notice to File Missing Parts
☒ Declaration & Power of Attorney ☐ Issue Fee
☐ Assignment ☒ Other Fee Transmittal + 2
☐ Drawings _____ sheets
☐ IDS ☐ Supplemental ☒ COM ☐ Express mailed 8/8/01

Attorney: Bart E. Lerman
/nf

S:\User Folders\Hancock\Forms\Receipt Card



Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

PTO/SB/17 (11-00)
Approved for use through 10/31/2002. OMB 0651-0032
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

#3

FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$) 130

Complete if Known

Application Number	09/863,167
Filing Date	MAY 23, 2001
First Named Inventor	YEH ET AL.
Examiner Name	UNKNOWN
Group / Art Unit	1755
Attorney Docket No.	IJ0011 US NA

METHOD OF PAYMENT (check one)

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number: 04-1928

Deposit Account Name: E. I. du Pont de Nemours and Company

☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

☐ Applicant claims small entity status. See 37 CFR 1.27

2. ☐ Payment Enclosed:

☐ Check ☐ Credit card ☐ Money Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
101	710	201	355	Utility filing fee	
106	320	206	160	Design filing fee	
107	490	207	245	Plant filing fee	
108	710	208	355	Reissue filing fee	
114	150	214	75	Provisional filing fee	

SUBTOTAL (1) (\$ 0)

2. EXTRA CLAIM FEES

Total Claims	Independent Claims	Multiple Dependent	Extra Claims	Fee from below	Fee Paid
			-20 = 0	X 18 = 0	
			-3 = 0	X 80 = 0	
				X 270 = 0	

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description
103	18	203	9	Claims in excess of 20
102	80	202	40	Independent claims in excess of 3
104	270	204	135	Multiple dependent claim, if not paid
109	80	209	40	** Reissue independent claims over original patent
110	18	210	9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$ 0)

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath	130
127	50	227	25	Surcharge - late provisional filing fee or cover sheet.	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	390	216	195	Extension for reply within second month	
117	890	217	445	Extension for reply within third month	
118	1,390	218	695	Extension for reply within fourth month	
128	1,890	228	945	Extension for reply within fifth month	
119	310	219	155	Notice of Appeal	
120	310	220	155	Filing a brief in support of an appeal	
121	270	221	135	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,240	241	620	Petition to revive - unintentional	
142	1,240	242	620	Utility issue fee (or reissue)	
143	440	243	220	Design issue fee	
144	600	244	300	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	130	123	130	Petitions related to provisional applications	
126	180	126	180	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	
146	710	246	355	Filing a submission after final rejection (37 CFR § 1.129(a))	
149	710	249	355	For each additional invention to be examined (37 CFR § 1.129(b))	
179	710	279	355	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	

Other fee (specify)

*Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$ 130)

SUBMITTED BY

Complete (if applicable)

Name (Print/Type)	Bart E. Lerman	Registration No. Attorney/Agent)	31,897	Telephone	(302) 992-5285
Signature				Date	Aug. 8, 2001

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

#3



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/863,167	05/23/2001	An-Gong Yeh	U0011 US NA

CONFIRMATION NO. 8801

23906

E I DU PONT DE NEMOURS AND COMPANY
LEGAL DEPARTMENT - PATENTS
1007 MARKET STREET
WILMINGTON, DE 19898

FORMALITIES LETTER



OC000000006324890

Date Mailed: 07/20/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

Adjustment date: 09/26/2001 SSALEEKU
09/16/2001 HXJCR1 00000027 041928 09863167
01 FC:105 130.00 CR

08/16/2001 MBERHE 00000103 041928 09863167
01 FC:105 130.00 CH